

Agenda Item: 7

Meeting: Oxfordshire CCG Board Meeting

Date of Meeting	26 November 2020
Title of Paper	OCCG Risk Registers
Lead Director	Catherine Mountford, Director of Governance
Author(s)	Lesley Corfield, Governance Manager
Paper Type	Approval
Action Required	The Board Members are asked to discuss and note the contents of the report, approve the rewording of risk AF34 and the closure of risk AF28.

Executive Summary

The paper provides an update on the OCCG Strategic Risk Register and any red rated Operational Risks.

For reference: OCCGs risk grading matrix:

	Likelihood				
Consequence	1	2	3	4	5
	Rare	Unlikely	Possible	Likely	Almost certain
5 Catastrophic	5	10	15	20	25
4 Major	4	8	12	16	20
3 Moderate	3	6	9	12	15
2 Minor	2	4	6	8	10
1 Negligible	1	2	3	4	5

Summary Colour code	
Risk grading	Risk score
Low risk	1 – 4
Moderate risk	5 – 11
High risk	12 – 19
Extreme risk	20 – 25

Previously considered by (CCG and/or ICS, ICP Boards and/or Committees)	Directors Risk Review and Committees of the Board
Financial and resource implications	There are no financial and resource implications arising from this paper
Risk and Assurance	The paper is reporting on the OCCG Risk Registers

Legal implications/regulatory requirements	There are no legal implications arising from this paper
Consultation, public engagement & partnership working implications/impact	Not applicable
Public Sector Equality/Equity Duty	Not applicable

Conflicts of Interest
Not applicable

No conflict identified	✓
Conflict noted: conflicted party can participate in discussion and decision	
Conflict noted, conflicted party can participate in discussion but not decision	
Conflict noted, conflicted party can remain but not participate in discussion	
Conflict noted, supported paper withheld from conflicted party e.g. pecuniary benefit	
Conflicted party is excluded from discussion	

Authority to Make a Decision – process and/or commissioning (if relevant) The Executive Team at the Directors Risk Review meeting review the risks and propose new/amended strategic risks for the OCCG Board to approve.

The Directors Risk Review (DRR) meeting met on 4 November 2020 and reviewed the Strategic Risk Register and the Operational Risk Register. There are currently no red rated Strategic or Operational Risks.

AF34: Quality Impact of Non-delivery

The Board was asked at its last meeting to approve the closure of Risk AF34 as it was no longer a risk but an issue. It was agreed the new risk would be around failure to deliver on Phase 3 and deliver trajectories. The wording for the new risk was taken to the 15 October 2020 Audit Committee: *There is a risk that Oxfordshire will not meet the Phase 3 recovery trajectories (for cancer and elective surgery) we have set which will lead to an increase in waiting times for patients and an increasing number waiting over 52 weeks.*

Following further review it is felt that rather than close AF34, the risk should be reworded. The rewording is: *There is a risk that Oxfordshire will not meet the NHS phase 3 recovery trajectories we have set and that we could have more patients waiting 52 weeks than forecast.*

The Board is asked to approve the rewording of Risk AF34.

AF28: Provider Workforce

There is no requirement and no resource for an Oxfordshire Workforce Group as in line with the People plan, all the work is being undertaken at Buckinghamshire, Oxfordshire and Berkshire West (BOB) level. Workforce is on the provider and primary care operational agenda and is not managed at CCG level. As this work is being delivered at Integrated Care System (ICS) level, OCCG is confident workforce is on the Trusts and primary care agenda, there is a workforce group for primary and community care, and the People Board will be requested to include a workforce risk on its Risk Register, the Board is being asked to approve the Directors Risk Review recommendation that AF28 be closed on the OCCG Strategic Risk Register.

AF30: Implementation of Digital Solutions

The Health Information Exchange (HIE) is now live. The DRR felt the risk should be around whether the system available was being used to best effect. A review of the risk would include being clearer on what was outstanding, next steps, gaps, the rating and whether this remained a risk for OCCG.

AF31: Integrated System Risk

The title of the risk had been amended and linked to recovery and the Phase 3 letter. Amendment to the Mitigation was required and the risk reviewed in light of the current situation. Information on long term plan bids would also be included. It was felt a change in rating was not required but this would be considered during the review.

AF32: Use of Resources

No change to the rating was required but the risk needed to be amended to reflect the new financial regime.

AF35: COVID-19 Impact on Business as Usual

This risk linked to the COVID-19 risks. The rating did not require amendment but the risk would be reviewed and reference to the prioritisation work included.