



OXFORDSHIRE CLINICAL COMMISSIONING GROUP BOARD

Date of Meeting: 9 May 2020	Paper No: 20/27a
------------------------------------	----------------------------

Title of Paper: Audit Committee Minutes of 20 February 2020
--

Paper is for: (please delete tick as appropriate)	Discussion		Decision		Information	✓
---	-------------------	--	-----------------	--	--------------------	---

Conflicts of Interest (please delete tick as appropriate)	
No conflict identified	✓

<p>Purpose and Executive Summary: This summary is intended to provide Board Members with an understanding of the Minutes of the Audit Committee Meeting and the opportunity to comment.</p> <p>OCCG Risk Register The Committee considered and commented on the Register. In particular:</p> <ul style="list-style-type: none">• Risk 815 (Failure of Cybersecurity) It was suggested a pro-active audit be included to the Internal Auditors proposed plan of work for the coming year.• a separate risk category should be considered to address any risks or issues associated with integrated working and working under Buckinghamshire, Oxfordshire and Berkshire Integrated Care System (BOB ICS) control totals. In addition, a potential issue was identified around the capacity of the Senior Management Team to begin to facilitate and deliver meetings in common and the move towards a single accountable officer. <p>Joint Working/Sponsorship Arrangements with Commercial Organisations Policy The Committee discussed the proposed Policy arising from a review of the Integrated Respiratory Project (IRT). The Internal Auditors commented on potential conflicts and sought further reference to counter fraud and bribery policies. Contracting with non-pharma companies was not covered and nor the long term implications of sharing data with large commercial organisations and protection issues (eg patient confidentiality) around how the data will be used by outside organisations - a current NHS dilemma. Detail should also be added to prevent data shared by the NHS being used for other commercial gain – particularly if there is no commensurate benefit to the NHS.</p> <p>Final Accounts Timetable and Plans The Timetable and Plans were approved and potential risk, and mitigation, of migrating data under new IT arrangements in the same time period noted.</p>
--

OCCG Annual Report Contents Plan and Timetable

The work plan for the annual report including key dates was noted. The draft report and annual accounts were now being submitted on different dates which is causing some issues around timing of submissions and meetings.

External Audit 2019/20 Plan

The external audit plans for 2019/20 were approved. There is relatively little change from the areas of focus from the previous year but in terms of the approach to Value for Money (VFM) the transition to ICS has been identified as a key risk area. Ernst & Young will be seeking to gain a clear picture of what the process will involve as well as how the arrangements may affect OCCG's ability to deliver on its statutory responsibilities

Internal Audit Approval of Final Plans and Progress Report

A different approach has been taken to planning for internal audit next year considering how the BOB CCGs could align their plans.

RSM UK are currently the Internal Auditors for both OCCG and Buckinghamshire CCG but not for Berkshire West CCG which may cause some complications in the working arrangements across the three CCG's.

Counter Fraud Benchmarking, Progress Report and Work Plan

The Counter Fraud Benchmarking details the referrals that have been made across RSM UK's commissioning client base. OCCG has received 1 referral for the year which is below the national average of 1.9 referrals. ES reported the highest number of referral types relates to invoices.

Engagement: clinical, stakeholder and public/patient: Not Applicable

Financial Implications of Paper: None

Action Required: None

OCCG Priorities Supported Not Applicable

Equality Analysis Outcome: Not Applicable

Link to Risk:

Audit Committee is responsible to the Board (in conjunction with the Finance and Quality Committees) for reviewing the risks relating to the business and activities of the OCCG and ensuring the levels of risk and mitigations of those risks are appropriate and are properly recorded in the Risk Register of the OCCG.

Author: Roger Dickinson, Chair of Audit Committee

Clinical / Executive Lead: Roger Dickinson, Chair of Audit Committee

Date of Paper: 1 June 2020